

(19) World Intellectual Property Organization International Bureau



(43) International Publication Date 29 July 2004 (29.07.2004)

PCT

(10) International Publication Number WO 2004/063764 A1

- (51) International Patent Classification7: G01S 3/48, 3/04
- (21) International Application Number:

PCT/GB2004/000012

- (22) International Filing Date: 7 January 2004 (07.01.2004)
- (25) Filing Language:

English

(26) Publication Language:

English

(30) Priority Data: 0300352.2

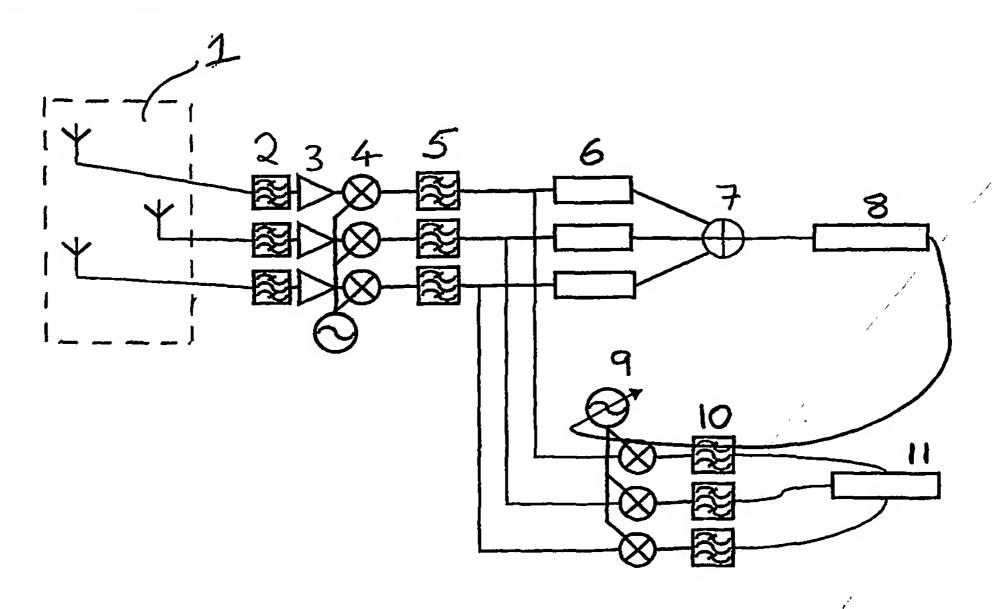
8 January 2003 (08.01.2003) GB

- (71) Applicant (for all designated States except US): THE SECRETARY OF STATE FOR DEFENCE [GB/GB]; DSTL, Porton Down, Salisbury, Wiltshire SP4 0JQ (GB).
- (72) Inventors; and
- (75) Inventors/Applicants (for US only): PRITCHARD, John [GB/GB]; QinetiQ, St Andrews Road, Malvern, Worcestershire WR14 3PS (GB). GARDNER, Andrew, Martin [GB/GB]; DSTL, St Andrews Road, Malvern, Worcestershire WR14 3PS (GB).

- (74) Agent: BECKHAM, Robert, William; D/IPR Formalities Section, Poplar 2, MOD Abbey Wood # 2218, Bristol BS34 8JH (US).
- (81) Designated States (unless otherwise indicated, for every kind of national protection available): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BW, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NA, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW.
- (84) Designated States (unless otherwise indicated, for every kind of regional protection available): ARIPO (BW, GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PT, RO, SE, SI, SK, TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

[Continued on next page]

(54) Title: RADIO SIGNAL DIRECTION FINDER



(57) Abstract: A radio direction finding (RDF) receiver using an array of receiving antennas for known signals with a relatively large frequency uncertainty is described. Additional sensitivity for low signal to noise environments, compared to a conventional receiver used for direction of arrival (DOA) measurements, is obtained. This is achieved by using the outputs of all the receiving antennas combined to provide coherent signal to noise gain, but without the associated increased directivity of the larger aperture.

Published:

with international search report

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.



l Radio Signal Direction Finder

The invention concerns a method and apparatus for determining the direction of ARRIVAL (DOA) of a radio signal. It has utility in situations where information concerning the transmitted waveform is known (particularly the cycle time and bandwidth) and where the signal to noise ratio is low, for example in search and rescue operations. Both azimuth and elevation DOA may be determined.

The term "cycle time" is intended to mean the time it takes for a repeating modulated signal to repeat itself.

According to the present invention a method of direction finding for radio signals of known bandwidth and cycle time comprises the steps of:

receiving the radio signals on an array of at least three antennas to provide a corresponding number of signal channels;

correlating, for each channel, one or more complete modulation cycles of the signal with the next modulation cycles;

summing the correlated signals so obtained;

determining the frequency of the radio signal of interest from the sum of the correlated signals;

mixing the frequency so determined with the uncorrelated channel signals to produce a narrow bandwidth signal commensurate with the modulation of the radio signals and

applying phase detection and direction finding routines to the narrow bandwidth signals.

A preferred embodiment further includes the step of mixing the received signals to an intermediate frequency (IF) suitable for further processing, prior to correlation of the modulation cycles.

30

20

25

According to a second aspect of the invention, apparatus for direction finding for radio signals of known modulation comprises an array of at least three antennas arranged to receive the radio signals of interest and provide a corresponding number of signal channels;

5

means for correlating, for each channel, one or more complete modulation cycles of the signal with the next modulation cycle;

means for summing the correlated signals so obtained;

10

means for determining the frequency of the radio signal of interest from the sum of the correlated signals;

15

means for mixing the frequency so determined with the uncorrelated channel signals to produce a narrow bandwidth signal commensurate with the modulation of the radio signals and

processing means for applying phase detection and direction finding routines to the narrow bandwidth signals.

20

In a preferred embodiment, said apparatus further including means for mixing the received signals to an intermediate frequency (IF) suitable for further processing, prior to correlation of the modulation cycles.

25

30

35

The apparatus of the invention works in two phases: first frequency detection and then angle of arrival determination. In the frequency detection phase, additional sensitivity is obtained, compared to a conventional directional receiver, by using the outputs of all the receiving antennas combined in a certain way, but without the associated increased directivity of the larger aperture. Increased directivity is undesirable since this would require the antenna array to be scanned to cover 360°. By the present invention, so long as the noise in each channel is uncorrelated, defined increases in sensitivity may be obtained via coherent addition, by increasing the number of antennas and receiving channels. That is for N channels the signal to noise ratio will increase by N. At frequencies where atmospheric noise is low, that is at VHF and above, the noise in each channel will be largely uncorrelated, since each channel will

posses separate noise sources from lossy and active devices which will dominate over the common atmospheric noise.

3

The invention will now be described with reference to the following figures in which:

5

figure 1 shows a schematic representation of a three-channel implementation of the invention and

10

figure 2 shows another representation disclosing greater detail of how direction of the signal might be determined from the processed data.

Referring to figure 1, signal incident upon an antenna array 1 is passed through filters 2 to remove out of band interference and noise, and also to reject the image frequency caused by the mixing stage.

15

The signal is then amplified by a low noise amplifier (LNA) 3 and mixed to a suitable lower intermediate frequency (IF) at mixer 4 to facilitate further processing. Additional filters 5 reduce unwanted mixing products.

20

Correlators 6 correlate one complete modulation cycle with the next to effectively remove the phase information present between the channels. The correlated signals are then summed at 7 (thus realising coherent signal to noise gain) before conventional detection routines, familiar to a person skilled in the art, are applied at processing means 8 to detect the signal of interest in the frequency domain.

25

Once the exact frequency of the signal of interest has been determined this information is used to slave a local oscillator 9 to force the signals to appear within the bandwidth of the next filters 10 which further reject noise and interference. These filters are set to the bandwidth of the modulation which is known a *priori*. Conventional phase detection and direction finding routines are then applied to the resulting signals at processing means 11.

30

35

Referring to figure 2, The down-conversion and band selection circuits convert the received RF signal to a suitable IF where correlation can take place. The First IF is necessarily removed from the final IF in frequency to enable rejection by final IF filters. The bandwidth is that of the full uncertainty bandwidth of the signal. Once frequency detection has taken place, the bandwidth is suitably narrowed to that of the

5

4

modulation, thus removing noise from the phase detection and direction finding algorithms.

Where the uncertainty bandwidth allows digital techniques are conveniently used for all the detection processing. This greatly reduces channel to channel variation and allows convenient calibration. To calibrate the system a known signal is fed into the antennas and the scale and phase adjusted accordingly 12.

Angular information may be extracted using I and Q processing and the arctan function as shown in figure 2. Alternatively the vector scalar product in IQ space between two channel signals may be used to derive three phase differences. The latter approach approach is more reliable at certain DOA where the arctan function is sensitive to noise.

Other phase detectors could be used but I and Q processing removes the amplitude dependency of the result and therefore eliminates the requirement for an Automatic Level Control System (ALC) system (in the latter approach of the previous paragraph, the ALC is effectively included in the modulus calculation).

The frequency detection block is based upon the Fast Fourier Transform (FFT) and as such will not present the exact frequency of the input. Thus the output of the arctan function will contain two components: the phase of the wanted signal compared to the ADC clock 13 and a linear ramp of phase due to the detected frequency not being exact. However the difference of the arctan outputs gives the required angle and the linear ramp cancels since it is common.

5



<u>Claims</u>

5

10

25

30

35

1. A method of direction finding for radio signals of known bandwidth and cycle time comprising the steps of:

receiving the radio signals on an array of at least three antennas to provide a corresponding number of signal channels;

correlating, for each channel, one or more complete modulation cycles of the signal with the next modulation cycle;

summing the correlated signals so obtained;

determining the frequency of the radio signal of interest from the sum of the correlated signals;

mixing the frequency so determined with the uncorrelated channel signals to produce a narrow bandwidth signal commensurate with the modulation of the radio signals and

- applying phase detection and direction finding routines to the narrow bandwidth signals.
 - 2. The method of claim 1, further including the step of mixing the received signals to an intermediate frequency (IF) suitable for further processing, prior to correlation of the modulation cycles.
 - 3. Apparatus for direction finding for radio signals of known bandwidth and cycle time comprising an array of at least three antennas arranged to receive the radio signals of interest and provide a corresponding number of signal channels;

means for correlating, for each channel, one or more complete modulation cycles of the signal with the next modulation cycle;

means for summing the correlated signals so obtained;

means for determining the frequency of the radio signal of interest from the sum of the correlated signals;

5

means for mixing the frequency so determined with the uncorrelated channel signals to produce a narrow bandwidth signal commensurate with the modulation of the radio signals and

processing means for applying phase detection and direction finding routines to the narrow bandwidth signals.

4. The apparatus of claim 3, further including means for mixing the received signals to an intermediate frequency (IF) suitable for further processing, prior to correlation of the modulation cycles.

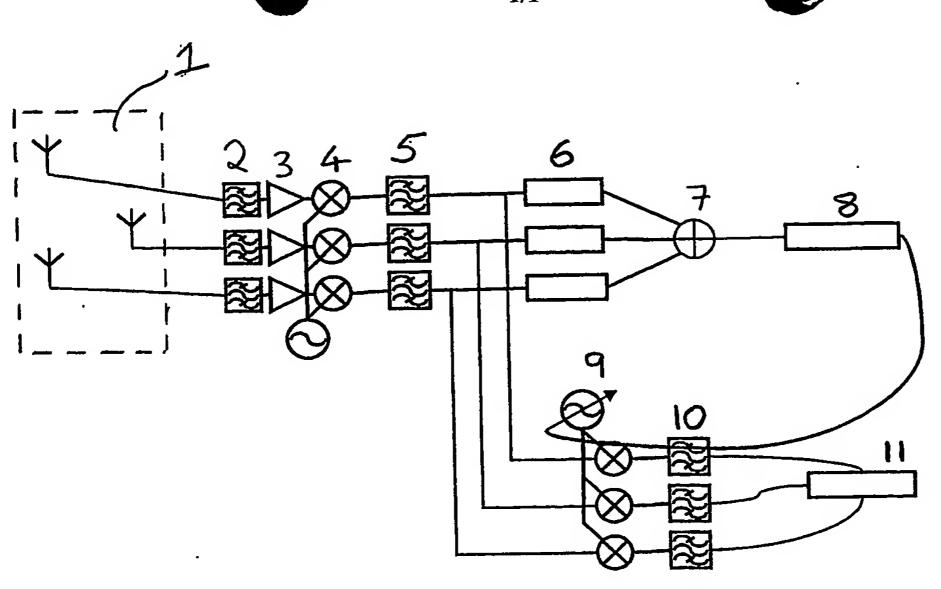
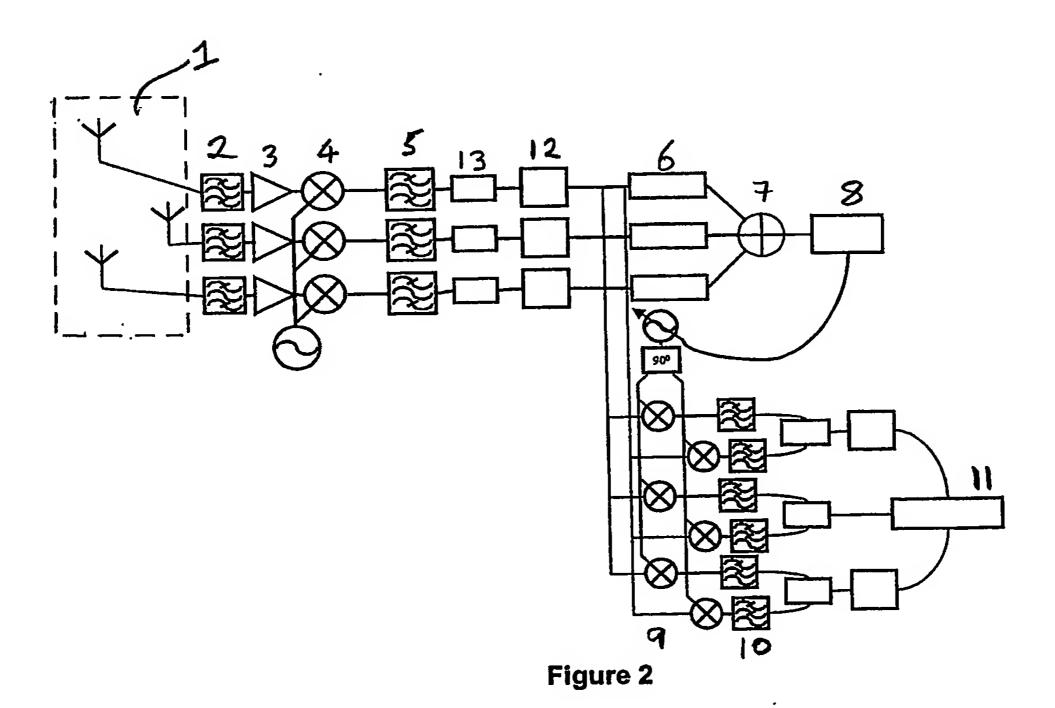


Figure 1





A. CLASSIFICATION OF SUBJECT MATTER IPC 7 G01S3/48 G01S3/04								
According to International Patent Classification (IPC) or to both national classification and IPC								
B. FIELDS SEARCHED Minimum documentation searched (classification system followed by classification symbols)								
IPC 7 G01S								
Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched								
Electronic da	ata base consulted during the international search (name of data bas	e and, where practical, search terms used)						
EPO-Internal, INSPEC								
C. DOCUMENTS CONSIDERED TO BE RELEVANT								
Category °	Citation of document, with indication, where appropriate, of the rele	vant passages	Relevant to claim No.					
Α	US 5 657 026 A (CULPEPPER JERRY W 12 August 1997 (1997-08-12) column 4, line 28 -column 8, line figures 1-5,9	4;	1-4					
<u> </u>	column 15, line 4 -column 16, lin							
A	US 4 443 801 A (KLOSE DIRK R ET 17 April 1984 (1984-04-17) column 2, line 64 -column 6, line claim 1; figures 1-4		1–4					
A	US 4 649 392 A (APOSTOLOS JOHN T) 10 March 1987 (1987-03-10) column 1, line 58 -column 3, line figure 1	1	1-4					
Fur	ther documents are listed in the continuation of box C.	X Patent family members are listed in	annex.					
 Special categories of cited documents: A* document defining the general state of the art which is not considered to be of particular relevance T* tater document published after the International filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention 								
filing	ment which may throw doubts on priority claim(s) or	X* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone						
which is cited to establish the publication date of another citation or other special reason (as specified) "O" document referring to an oral disclosure, use, exhibition or other means "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such docu- ments, such combination being obvious to a person skilled								
"P" docun	nent published prior to the International filling date but than the priority date claimed	In the art. 18. document member of the same patent family						
Date of the actual completion of the International search Date of mailing of the International search report								
23 April 2004		12/05/2004						
Name and	d mailing address of the ISA European Patent Office, P.B. 5818 Patentlaan 2	Authorized officer						
NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo ni, Fax: (+31-70) 340-3016		Mercier, F						

ERNATIONAL SEARCH REPORT

·* 4

	nation on patent family members		J/GB2004/000012		
Patent document cited in search report		Publication date		Patent family member(s)	Publication date
US 5657026	A	12-08-1997	NONE		
US 4443801	A	17-04-1984	NONE		سے سے کا نہیں جب سے اور ان سے اس کے ان
US 4649392	A	10-03-1987	NONE		

in tional Application No



CORRECTED VERSION

(19) World Intellectual Property Organization International Bureau





(43) International Publication Date 29 July 2004 (29.07.2004)

PCT

(10) International Publication Number WO 2004/063764 A1

- (51) International Patent Classification7: G01S 3/48, 3/04
- (21) International Application Number:

PCT/GB2004/000012

- (22) International Filing Date: 7 January 2004 (07.01.2004)
- (25) Filing Language:

English

(26) Publication Language:

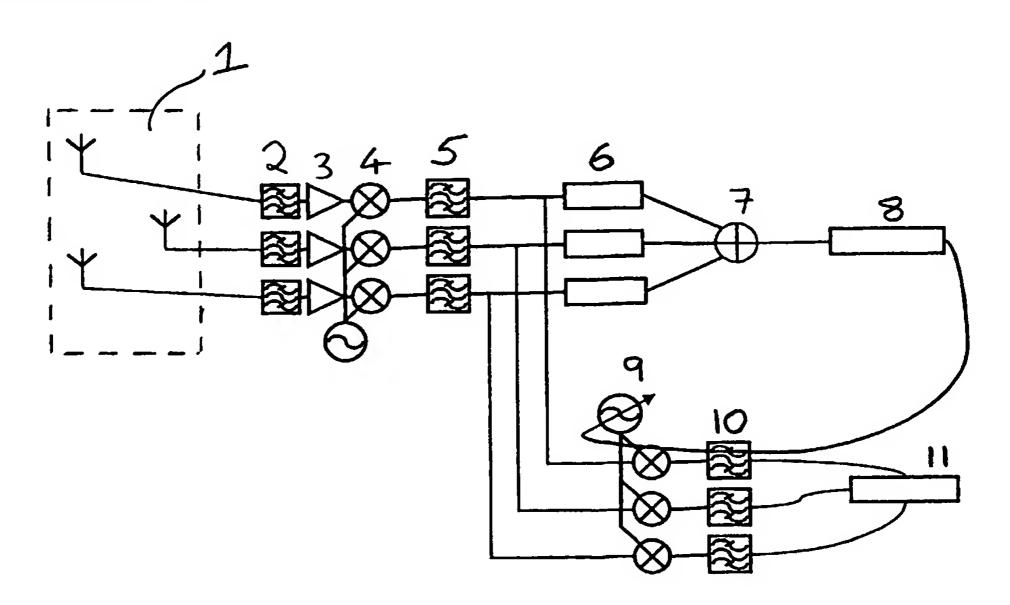
English

- (30) Priority Data: 0300352.2 8 January 2003 (08.01.2003) GB
- (71) Applicant (for all designated States except US): THE SECRETARY OF STATE FOR DEFENCE [GB/GB]; DSTL, Porton Down, Salisbury, Wiltshire SP4 0JQ (GB).
- (72) Inventors; and
- (75) Inventors/Applicants (for US only): PRITCHARD, John [GB/GB]; QinetiQ, St Andrews Road, Malvern, Worcestershire WR14 3PS (GB). GARDNER, Andrew, Martin [GB/GB]; DSTL, St Andrews Road, Malvern, Worcestershire WR14 3PS (GB).

- (74) Agent: BECKHAM, Robert, William; D/IPR Formalities Section, Poplar 2, MOD Abbey Wood # 2218, Bristol BS34 8JH (GB).
- (81) Designated States (unless otherwise indicated, for every kind of national protection available): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BW, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NA, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW.
- (84) Designated States (unless otherwise indicated, for every kind of regional protection available): ARIPO (BW, GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PT, RO, SE, SI, SK,

[Continued on next page]

(54) Title: RADIO SIGNAL DIRECTION FINDER



(57) Abstract: A radio direction finding (RDF) receiver using an array of receiving antennas for known signals with a relatively large frequency uncertainty is described. Additional sensitivity for low signal to noise environments, compared to a conventional receiver used for direction of arrival (DOA) measurements, is obtained. This is achieved by using the outputs of all the receiving antennas combined to provide coherent signal to noise gain, but without the associated increased directivity of the larger aperture.



TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

Published:

- with international search report
- (48) Date of publication of this corrected version:
 2 September 2004

(15) Information about Correction: see PCT Gazette No. 36/2004 of 2 September 2004, Section II

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.